

N Sector \$
#3

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type)

Steven F. Goldstein

Signature

St. F. Goldstein

Date

9-25-01
September 25, 2001



TRANSMITTAL

☒ Small Entity

☐ Large Entity

Application Number	09/884,875
Confirmation Number	1891
Filing Date	June 18, 2001
First Named Inventor	Lin-feng Chen et al.
Examiner	Unassigned
Group Art	1632
Attorney Docket No.	UCAL-234

ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

Claims

No. of claims as
filed or after
amendment

Most claims
previously
paid for

Extra Claims

Rate

Totals

Total

18

20

\$

-

Independent

7

3

4

40

\$

160.00

Multiple

Total Extra Claim Fees

\$

160.00

☐

Applicants Petition for an
Extension of time from

to

A _ month extension was previously filed
and paid for thereby reducing the basic
fee

Fee

☒

Response to File Missing Parts (with copy of formalities letter)

☒

Filing Fee

Fee

\$355.00

☒

Executed Declaration

Pages

12

Surcharge Fee

\$65.00

☐

Other

Fee

Fee

Fee

Fee

Subtotal \$420.00

☐

Information Disclosure Statement

☐

PTO Form 1449

Pages

☐

Copies of Cited References

☐

Other

Fee

Subtotal \$ -

☐

Response to Notice to Comply (with copy of Notice to Comply)

☐

Sequence Listing Certification

☐

Paper Copy of Sequence Listing

Pages

☐

Diskette in computer-readable format

☐

Other

Fee

<input type="checkbox"/> Terminal Disclaimer		Fee	
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group			
<input type="checkbox"/> Notice of Appeal	Pages		Fee
<input type="checkbox"/> Appeal Brief in Triplicate	Pages		Fee
<input type="checkbox"/> Reply Brief	Pages		Fee \$ -
			Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees		Fee	
<input type="checkbox"/> Change of Correspondence Address			
<input checked="" type="checkbox"/> Return Receipt Postcard		TOTAL FEES \$580.00	
<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>			
<p>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</p>			
Name (Print/Type)	Carol L. Francis		Registration No. 36,513
Signature	<i>Carol L. Francis</i>		Date 09-25-2001
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200
City	Menlo Park	State	California
Telephone - Direct Dial	650-327-3400	zip	94025
		Facsimile	650-327-3231

Application No. 09/884,875 Attorney Docket No. UCAL-234 Page 2 of 2

BEST AVAILABLE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,875	06/18/2001	Warner C. Greene	06510234US1

Karl Bozicevic
Bozicevic, Field & Francis LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025



CONFIRMATION NO. 1891

FORMALITIES LETTER



OC00000006423572

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *Small Entity*
Applicant must submit \$ ~~710~~ ^{355.00} to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$320.
 - ~~\$320~~ ¹⁶⁰ for 4 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of ~~\$130~~ ⁶⁵ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 MBELET1 00000032 500815 09884875

01 FC:201	370.00 CH
02 FC:202	168.00 CH
03 FC:205	65.00 CH

BEST AVAILABLE COPY